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Incorporating Berry Force Effects into the Fewest Switches Surface-Hopping Algorithm: Intersystem Crossing and the Case of Electronic Degeneracy

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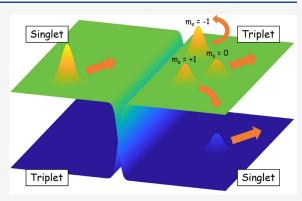
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ABSTRACT: We present a preliminary surface-hopping approach for modeling intersystem crossing (ISC) dynamics between four electronic states: one singlet and one (triply degenerate) triplet. In order to incorporate all Berry force effects, the algorithm requires that, when moving along an adiabatic surface associated with the triplet manifold, one must also keep track of a quasi-diabatic index (akin to a "ms" quantum number) for each trajectory. For a simple model problem, we find that a great deal of new physics can be captured by our algorithm, setting the stage for larger, more realistic (or perhaps even ab initio) simulations in the future.



1. INTRODUCTION

Tully's fewest switches surface-hopping (FSSH)¹ algorithm is one of the most successful nonadiabatic dynamics methods on account of its reasonable accuracy and extremely low computational cost.²⁻⁶ Provided the nuclear motion is effectively classical, one can invoke surface hopping to simulate a wide range of nonadiabatic problems, especially if one utilizes recent improvements to the algorithm accounting for decoherence, ^{7–10} velocity reversal, and frustrated hops. ^{11,12} In recent years, surface hopping has been widely used to simulate many processes such as photoexcitation, ^{13–16} electron transfer, ^{17,18} and scattering.

Interestingly, however, as has been demonstrated recently (see ref 21), Tully's algorithm can fail dramatically if spin degrees of freedom enter into the nonadiabatic motion. One particular case is when one considers Hamiltonians with spinorbit interactions and the system has an odd number of electrons. In such a case, the electronic Hamiltonian becomes complex valued²² and the nuclei will experience an effective magnetic force (known as Berry's geometric phase force²³) when moving along an adiabatic surface. Recently, our research group has argued (with some success)^{24,25} that one can extend FSSH to complex-valued problems with reasonable accuracy by (i) explicitly including Berry force in the nuclear equation of motion and (ii) finding an optimal momentum rescaling direction. Benchmarking of such an extended surface-hopping algorithm is presently ongoing.

Now, all of the developments in ref 21 are predicated on the idea of a two-state Hamiltonian (designed to represent a simple radical system). As such, one might wonder what is the nature of Berry force when there are more than two electronic states? This problem can be naturally addressed by considering a singlettriplet crossing, a minimal model of which consists of four states. For such a model system, one can show analytically that the Hamiltonian can be made completely real valued, and so one might hypothesize that there is no Berry force (see eq 3 below). Nevertheless, model calculations²⁶ clearly show that strong pseudomagnetic forces can emerge for a real-valued singlettriplet crossing, strongly suggesting that Berry forces arise from the presence of electronic degeneracy (and not just from the presence of a complex-valued derivative coupling).

Finally, we cannot emphasize enough that the concept of chiral induced spin selectivity (CISS) as mediated by intersystem crossing and spin-orbit coupling (SOC) has become one of the hottest research areas today in physical chemistry. 27-29 Moreover, several model (computational) studies have shown that the Berry (geometric) magnetic force can lead to strong spin selectivity. 30,31 For this reason, there is today a strong motivation to develop new mixed quantumclassical dynamics models capable of treating electronic spin.

With this background in mind, we will present here a preliminary extension of FSSH that incorporates Berry force

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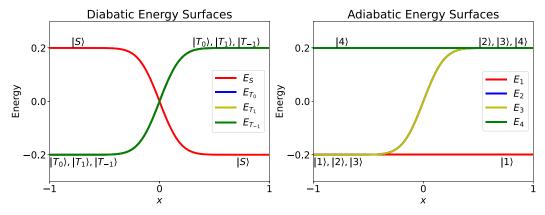


Figure 1. Schematic figure of the diabatic and adiabatic energy surfaces corresponding to eq 7.

effects for Hamiltonians with degenerate manifolds of electronic states. For a singlet—triplet crossing model, we will show that a modified FSSH can capture spin-dependent branching ratios as well as nuclear momenta fairly accurately. The paper is structured as follows. In section 2, we introduce our model Hamiltonian, we propose the concept of triplet "quasi-diabats" as a means of labeling Berry forces in the presence of many degenerate (or nearly degenerate) electronic states, and we outline our present FSSH algorithm. In section 3, we present simulation results. In section 4, we discuss the limitations of our approach and highlight open questions.

A word about notation is now appropriate. Below, we will write all nuclear vectors with an arrow above them; bold face indicates an electronic operator or vector. Roman letters $\{i,j,k\}$ index adiabatic electronic states, $\{a,b,c\}$ index quasi-diabatic states, the Greek letter λ indexes the active adiabat, μ indexes the active quasi-diabat, and the Greek letters $\{\alpha,\beta,\gamma\}$ index nuclear coordinates. The only exception to the above rules is that for the specific Hamiltonian in eq 7 we will label x, y as the specific nuclear coordinates.

2. THEORY AND METHODS

2.1. From a Two-State Crossing Model to a Singlet—Triplet Crossing Model. As a means of motivating the four-state model below, let us begin by reviewing the standard two-state model that exemplifies a Berry force. For a system with a single unpaired electron with spin, the very simplest (complex-valued) Hamiltonian has the form

$$H = A \begin{pmatrix} \cos \theta & \sin \theta e^{i\phi} \\ \sin \theta e^{-i\phi} & -\cos \theta \end{pmatrix}$$
 (1)

We define the functions $\theta(x)$ and $\phi(y)$ as

$$\theta \equiv \frac{\pi}{2} (\operatorname{erf}(Bx) + 1)$$

$$\phi \equiv Wy$$
(2)

Here, A, B, and W are constants and x and y are nuclear coordinates. According to eqs 1 and 2, we imagine two diabats crossing in the x direction, while the phase of their coupling is modulated in the y direction. Note that while the diabats are flat in the y direction, the adiabats are flat in all directions (x, y). This model is not physical but rather has been chosen on purpose to make a point: if a trajectory is moving along a flat adiabat and veers away from a straight line, one must conclude that Berry forces are at play. In the limit of slow nuclear motion, Berry

showed that nuclei follow not only the static adiabatic force but also an extra "magnetic" force 23

$$\vec{F}_j^{\text{Berry}} = \vec{\Omega}_j \vec{v} = 2\hbar \text{Im} \sum_{k \neq j} \left[\vec{d}_{jk} (\vec{v} \vec{d}_{kj}) \right]$$
(3)

where the Berry curvature is 22,32,33

$$\vec{\Omega}_j = i\vec{\nabla} \times \vec{d}_{jj} \tag{4}$$

or in index form

$$\Omega_{j}^{\alpha\beta} = i(\nabla_{\alpha}d_{jj}^{\beta} - \nabla_{\beta}d_{jj}^{\alpha}) = i\sum_{k} (d_{jk}^{\alpha}d_{kj}^{\beta} - d_{jk}^{\beta}d_{kj}^{\alpha})$$
(5)

The derivative coupling between adiabatic states j and k is $\vec{d}_{jk} = \langle \psi_k | \vec{\nabla} | \psi_j \rangle$ or in index form $d^{\alpha}_{jk} = \langle \psi_k | \nabla_{\alpha} | \psi_j \rangle$. Note that within a two-state model eq 3 is zero if the electronic Hamiltonian is real valued. Furthermore, $\vec{F}^{\text{Berry}}_{j}\vec{v} = 0$; in other words, the Berry pseudomagnetic force cannot do any work.

As a result of eq 3, for the two-state model in eq 1, a trajectory incident on the upper adiabat from $x = -\infty$ and exiting on the same adiabat toward $x = \infty$ will accumulate a momentum shift in the y direction of magnitude of W (or -W if it is on the lower adiabat).³⁴ In other words, a proper adiabatic calculation with a Berry force shows²¹ that

$$\Delta p_1^y = \int_{t=0}^{t=\infty} F_j^{\text{Berry},y} dt = W$$
(6)

This semiclassical prediction can easily be verified by fully quantum calculations. Recently, ref 25 proposed an FSSH algorithm that incorporates the Berry force in eq 3, and so far the algorithm has been reasonably successful for a few two-state model problems; in particular, the algorithm has been able to recover both branching ratios and asymptotic nuclear momenta.

Now, in order to describe a singlet—triplet crossing in an analogous manner, one would like to extend the two-state algorithm in ref 25 to a four-state model. To that end, the natural analogue of eq 1 is as follows

$$H = A \begin{pmatrix} \cos \theta & \frac{\sin \theta}{\sqrt{3}} & \frac{\sin \theta e^{i\phi}}{\sqrt{3}} & \frac{\sin \theta e^{-i\phi}}{\sqrt{3}} \\ \frac{\sin \theta}{\sqrt{3}} & -\cos \theta & 0 & 0 \\ \frac{\sin \theta e^{-i\phi}}{\sqrt{3}} & 0 & -\cos \theta & 0 \\ \frac{\sin \theta e^{i\phi}}{\sqrt{3}} & 0 & 0 & -\cos \theta \end{pmatrix}$$
(7)

In eq 7, the Hamiltonian is represented in the $\{|S\rangle, |T_0\rangle, |T_1\rangle$, and $|T_{-1}\rangle\}$ spin-diabatic basis. The diabatic and adiabatic surfaces are plotted in Figure 1. Within this model, three triplets cross a singlet state, and the diabatic SOC has a different phase for each matrix element.

If we diagonalize eq 7, the relevant energies and wave functions are (a general form for the adiabatic wave functions in n dimensions is given in Appendix A)

$$E_{0} = -A, \ |\psi_{0}\rangle = \begin{pmatrix} \sin\frac{\theta}{2} \\ -\frac{1}{\sqrt{3}}\cos\frac{\theta}{2} \\ -\frac{1}{\sqrt{3}}e^{-i\phi}\cos\frac{\theta}{2} \\ -\frac{1}{\sqrt{3}}e^{i\phi}\cos\frac{\theta}{2} \end{pmatrix}$$

$$E_{1} = -A\cos\theta, \ |\psi_{2}\rangle = \begin{pmatrix} 0 \\ \sqrt{\frac{2}{3}} \\ -\frac{1}{\sqrt{6}}e^{-i\phi} \\ -\frac{1}{\sqrt{6}}e^{i\phi} \end{pmatrix} \tag{8}$$

$$E_{2} = -A \cos \theta, \ |\psi_{1}\rangle = \begin{pmatrix} 0 \\ 0 \\ -\frac{1}{\sqrt{2}} e^{-i\phi} \\ \frac{1}{\sqrt{2}} e^{i\phi} \end{pmatrix}$$

$$E_{3} = A, \ |\psi_{3}\rangle = \begin{pmatrix} -\cos \frac{\theta}{2} \\ -\frac{1}{\sqrt{3}} \sin \frac{\theta}{2} \\ -\frac{1}{\sqrt{3}} e^{-i\phi} \sin \frac{\theta}{2} \\ -\frac{1}{\sqrt{3}} e^{i\phi} \sin \frac{\theta}{2} \end{pmatrix}$$

For the benefit of the reader, a plot of adiabatic energies is provided in Figure 1.

Just as for the Hamiltonian in eq 1, the diabatic and adiabatic surfaces for the Hamiltonian in eq 7 are completely flat in the y direction. In fact, the upper and lower adiabats here are also flat in the x direction. However, the middle two adiabats are not flat in the x direction; furthermore, because these states are entirely degenerate at all points in space, there is no unique, well-defined means of distinguishing one from the other.

Under a change of basis, the Hamiltonian in eq 7 can be made entirely real valued

$$\mathbf{H} = \mathbf{U}^{\mathsf{H}}\mathbf{U}$$

$$= A \begin{bmatrix} \cos \theta & \frac{\sin \theta}{\sqrt{3}} & -\frac{\sqrt{2} \sin \theta \sin \phi}{\sqrt{3}} & \frac{\sqrt{2} \sin \theta \cos \phi}{\sqrt{3}} \\ \frac{\sin \theta}{\sqrt{3}} & -\cos \theta & 0 & 0 \\ -\frac{\sqrt{2} \sin \theta \sin \phi}{\sqrt{3}} & 0 & -\cos \theta & 0 \\ \frac{\sqrt{2} \sin \theta \cos \phi}{\sqrt{3}} & 0 & 0 & -\cos \theta \end{bmatrix}$$

$$(10)$$

where

$$U = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{i}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & 0 & -\frac{i}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$
(11)

At this point, consider exact wavepacket scattering dynamics for the degenerate ISC model in eq 7. Although eq 3 might suggest that the Berry force is zero for each state, numerical results in ref 26 show clearly that a nuclear wavepacket incident from singlet state $|S\rangle$ will split into three separating daughter wavepackets. The daughter wavepacket on $|T_1\rangle$ transmits upward with $p_y=+W$, the daughter wavepacket on $|T_0\rangle$ transmits straight across with $p_y=0$, and the daughter wavepacket on $|T_{-1}\rangle$ transmits downward with $p_y=-W$. The Berry force is clearly nonzero and leads to decoherence for this Hamiltonian, causing a momentum shift when a wavepacket changes its diabat.

In general, for the Hamiltonian in eq 7, we find that the Berry force has the effect given in Table 1 as far as the final momentum shift in the y direction (depending on the initial and final spin-diabat).

From a theory perspective, one often refers to the Berry curvature in eq 4 as a nondegenerate Berry curvature; this curvature leads to the adiabatic Berry force in eq 3. For the present problem, however, where the middle two adiabats are

Table 1. Average Momentum Shift in the *y* Direction As Found in Scattering Experiments

initial diabat	final diabat	p_y shift
$ S\rangle$, $ T_0\rangle$	$ S\rangle$, $ T_0\rangle$	0
$ S\rangle$, $ T_0\rangle$	$\ket{T_1}$	-W
$ S\rangle$, $ T_0\rangle$	$\ket{T_{-1}}$	+W
$\ket{T_1}$	$ S\rangle$, $ T_0\rangle$	+W
$\ket{T_1}$	$ T_{-1}\rangle$	+2W
$ T_{-1}\rangle$	$ S\rangle$, $ T_0\rangle$	-W
$ T_{-1}\rangle$	$ T_1 angle$	-2W

(9)

degenerate everywhere and three states are degenerate asymptotically, one must consider the concept of a degenerate Berry curvature³⁵

$$\Omega_{jk}^{\alpha\beta} = i \left(\frac{\partial d_{jk}^{\beta}}{\partial R_{\alpha}} - \frac{\partial d_{jk}^{\alpha}}{\partial R_{\beta}} \right) - i \sum_{l} \left(d_{jl}^{\alpha} d_{lk}^{\beta} - d_{jl}^{\beta} d_{lk}^{\alpha} \right) \tag{12}$$

Whereas eq 3 reflects how a single vector moves in a larger vector space as a function of nuclear coordinates, the expression on the right-hand side of eq 12 reflects how a multidimensional subspace evolves within an even large vector space as a function of nuclear coordinate. As such, eq 12 is meaningful (i.e., nonzero) only when the electronic index l runs over one, two, or three states. If we extend this index to include all four states, we will find that the entire expression is identically zero (which is effectively the curl condition 36 for a closed electronic space).

To our knowledge, there is at present no simple means of incorporating the concept of a degenerate Berry curvature into a semiclassical, nonadiabatic dynamics algorithm.

2.2. "Quasi-Diabatic" Ansatz and Effective "Magnetic" **Field.** Tully's FSSH dynamics are predicated on the idea that to best account simultaneously for nuclear barriers, strong and weak electronic coupling, 10 and detailed balance, 37 the optimal approach is to propagate dynamics along adiabatic potential energy surfaces. That being said, the phenomenological behavior described in Table 1 makes clear that there are limitations to running dynamics along adiabatic surfaces. After all, if one ignores the distinction between $m_s = 1$ and $m_s = -1$ triplets, how could one possibly account for the spin-dependent changes of nuclear motion in Table 1? At the same time, as a practical matter, if one works with degenerate states, how does one pick such a state uniquely with a unique derivative coupling? It would seem that in order to extend our capacity to simulate nonadiabatic dynamics to degenerate state crossings (like the ISC in eq 7), more information is needed; for instance, it might be natural to include a diabatic state to help guide each trajectory. However, how should such diabats be chosen? Even more importantly, how should such a diabatic index actually guide a given nuclear trajectory? We will now address these questions in turn.

First, we consider the question of generating diabats. For an adiabatic electronic state that is largely of singlet character, we define the quasi-diabatic state to be the adiabat. However, for those adiabatic electronic states that are largely of triplet character, we wish to procure a diabatic representation. Thus far, for the Hamiltonian in eq 7, our most effective idea for generating diabats is to rotate the relevant adiabats so as to align them with the target triplet diabats using the well-known Kabsch algorithm which is well known in many contexts 39,40).

The resulting electronic states are clearly *not* entirely diabatic, and so we call this new basis a "quasi-diabatic" basis. The basis is plotted in Figure 2.

Mathematically, the process described above works as follows. Asymptotically, as $x \to \infty$, the adiabats $|2\rangle$, $|3\rangle$, and $|4\rangle$ coincide with diabats $|T_0\rangle$, $|T_1\rangle$, and $|T_{-1}\rangle$. Let R be the 3×3 rotation matrix that rotates $\{|2\rangle$, $|3\rangle$, $|4\rangle\}$ into the desired quasi-diabats, $\{|\tilde{T}_0\rangle$, $|\tilde{T}_1\rangle$, $|\tilde{T}_{-1}\rangle\}$

$$\{|\tilde{T}_0\rangle, |\tilde{T}_1\rangle, |\tilde{T}_{-1}\rangle\} = \{|2\rangle, |3\rangle, |4\rangle\} \mathbf{R}^{\dagger}$$
(13)

To best align the adiabats with the diabats in this limit, the rotation matrix R is defined to be the matrix that maximizes the overlap

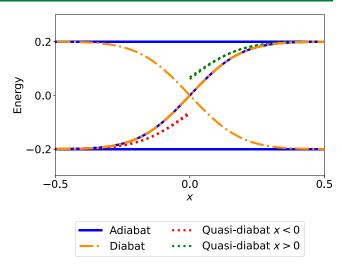


Figure 2. Zoomed-in plot of the energy surfaces in the x direction for the four-state model described by eq 7. Solid lines correspond to adiabats, dashed—dotted lines correspond to diabats, and dotted lines correspond to quasi-diabats. Triplet quasi-diabats (red and green dotted lines) are always nearly triply degenerate and will coincide with the diabats asymptotically. Singlet quasi-diabat is the same as the original singlet diabat.

$$f(R) = \langle \tilde{T}_0 | T_0 \rangle + \langle \tilde{T}_1 | T_1 \rangle + \langle \tilde{T}_{-1} | T_{-1} \rangle \tag{14}$$

Let P by the 4×3 matrix of column vectors that span the relevant adiabatic space, $P = [|2\rangle, |3\rangle, |4\rangle]$, and let Q be the matrix of column vectors spanning the relevant diabatic space, $Q = [|T_0\rangle, |T_1\rangle, |T_{-1}\rangle]$. The function to be optimized is $f(R) = \text{Tr}((RP^{\dagger})Q)$. It has been proven repeatedly in the literature ³⁸ that f(R) is maximal when

$$R = \mathbf{O}^{\dagger} (\mathbf{O} \mathbf{O}^{\dagger})^{-1/2} \tag{15}$$

where $O = P^{\dagger}Q$. For the given Hamiltonian in eq 7, the quasidiabats in the region x > 0 can be calculated analytically

$$\begin{split} |\tilde{T}_{0}\rangle &= \begin{pmatrix} \frac{1}{\sqrt{3}}\cos\frac{\theta}{2} \\ \frac{2}{3} + \frac{1}{3}\sin\frac{\theta}{2} \\ -\frac{e^{-i\phi}}{3}\left(1 - \sin\frac{\theta}{2}\right) \\ -\frac{e^{i\phi}}{3}\left(1 - \sin\frac{\theta}{2}\right) \end{pmatrix}, |\tilde{T}_{1}\rangle &= \begin{pmatrix} \frac{e^{i\phi}}{\sqrt{3}}\cos\frac{\theta}{2} \\ -\frac{e^{i\phi}}{3}\left(1 - \sin\frac{\theta}{2}\right) \\ \frac{2}{3} + \frac{1}{3}\sin\frac{\theta}{2} \\ -\frac{e^{2i\phi}}{3}\left(1 - \sin\frac{\theta}{2}\right) \end{pmatrix}, \\ |\tilde{T}_{-1}\rangle &= \begin{pmatrix} \frac{e^{-i\phi}}{\sqrt{3}}\cos\frac{\theta}{2} \\ -\frac{e^{-i\phi}}{3}\left(1 - \sin\frac{\theta}{2}\right) \\ -\frac{e^{-2i\phi}}{3}\left(1 - \sin\frac{\theta}{2}\right) \\ \frac{2}{3} + \frac{1}{3}\sin\frac{\theta}{2} \end{pmatrix} \end{split}$$

$$(16)$$

Similarly, the quasi-diabats in the region x < 0 can be calculated analytically

$$\begin{split} |\tilde{T}_0\rangle &= \begin{pmatrix} -\frac{1}{\sqrt{3}}\sin\frac{\theta}{2} \\ \frac{2}{3} + \frac{1}{3}\cos\frac{\theta}{2} \\ -\frac{e^{-i\phi}}{3}\left(1 - \cos\frac{\theta}{2}\right) \\ -\frac{e^{i\phi}}{3}\left(1 - \cos\frac{\theta}{2}\right) \end{pmatrix}, |\tilde{T}_1\rangle &= \begin{pmatrix} \frac{e^{i\phi}}{\sqrt{3}}\sin\frac{\theta}{2} \\ -\frac{e^{i\phi}}{3}\left(1 - \cos\frac{\theta}{2}\right) \\ \frac{2}{3} + \frac{1}{3}\cos\frac{\theta}{2} \\ -\frac{e^{2i\phi}}{3}\left(1 - \cos\frac{\theta}{2}\right) \end{pmatrix} \\ |\tilde{T}_{-1}\rangle &= \begin{pmatrix} \frac{e^{-i\phi}}{\sqrt{3}}\sin\frac{\theta}{2} \\ -\frac{e^{-i\phi}}{3}\left(1 - \cos\frac{\theta}{2}\right) \\ -\frac{e^{-2i\phi}}{3}\left(1 - \cos\frac{\theta}{2}\right) \\ \frac{2}{3} + \frac{1}{3}\cos\frac{\theta}{2} \end{pmatrix} \end{split}$$

$$(17)$$

Note that there is a discontinuity in the quasi-diabats at x = 0 using this ansatz.

Next, let us consider the question of how to best employ these quasi-diabats. To that end, we will make the ansatz that each trajectory will carry both an adiabatic index and a quasi-diabatic index, and then, one can simply apply the Berry force for the associated quasi-diabatic to the given nuclear trajectory. In other words, our approach will be to calculate how each quasi-diabat changes with nuclear geometry using the nondegenerate "Berry curvature" tensor for each quasi-diabat following eq 4 (rather than the more complicated degenerate Berry curvature tensor in eq 12) and then apply the corresponding magnetic field. A calculation yields (for x > 0)

$$\Omega_{\tilde{T}_0}^{xy} = i(\nabla_x \langle \tilde{T}_0 | \nabla_y | \tilde{T}_0 \rangle - \nabla_y \langle \tilde{T}_0 | \nabla_x | \tilde{T}_0 \rangle) = 0$$
(18)

$$\Omega_{\tilde{T}_1}^{xy} = \frac{1}{3} \nabla_x \theta \nabla_y \phi \cos \frac{\theta}{2}$$
 (19)

$$\Omega_{\tilde{T}_{-1}}^{xy} = -\frac{1}{3} \nabla_{x} \theta \nabla_{y} \phi \cos \frac{\theta}{2}$$
 (20)

Note that for any and all of the three quasi-diabats of interest, $\Omega^{xy} = -\Omega^{yx}$ and $\Omega^{xx} = \Omega^{yy} = 0$. Next, we address the singlet quasi-diabat $|\tilde{S}\rangle$. In the regime where $x \to \infty$

$$|\tilde{S}\rangle = \begin{pmatrix} -\cos\frac{\theta}{2} \\ -\frac{1}{\sqrt{3}}\sin\frac{\theta}{2} \\ -\frac{e^{-i\phi}}{\sqrt{3}}\sin\frac{\theta}{2} \\ -\frac{e^{i\phi}}{\sqrt{3}}\sin\frac{\theta}{2} \\ -\frac{e^{i\phi}}{\sqrt{3}}\sin\frac{\theta}{2} \end{pmatrix}$$
(21)

and the Berry curvature is always zero, $\vec{\Omega}_S = 0$. At this point, we can define a Berry force of interest (on either side of x = 0)

$$\vec{F}_{\mu}^{\text{Berry}} = \eta \vec{\Omega}_{\mu} \cdot \frac{\vec{p}}{m} = \eta (i \vec{\nabla} \times \langle \mu | \vec{\nabla} | \mu \rangle) \cdot \frac{\vec{p}}{m}$$
(22)

or in index form

$$F_{\mu}^{\text{Berry},\alpha} = i\eta \sum_{\beta} \left(\nabla_{\!\alpha} \langle \mu | \nabla_{\!\beta} | \mu \rangle - \nabla_{\!\beta} \langle \mu | \nabla_{\!\alpha} | \mu \rangle \right) \frac{p_{\!\beta}}{m} \tag{23}$$

Here, for reasons to be explained below, we introduced a constant η . μ is the active quasi-diabat index.

Equation 22 has many features of interest as far as replicating the desired changes in scattering momenta according to Table 1. For instance, if one introduces a universal ad hoc scaling factor $\eta = 3/2$ in eq 22 and one evaluates a one-dimensional integral analogous to eq 6, one can calculate the momentum shift in the y direction for a trajectory along quasi-diabat \tilde{T}_1 as follows

$$\Delta p^{y} = \int_{t=0}^{t=\infty} \frac{3}{2m} \Omega_{T_{1}}^{yx} p^{x} dt = \int_{0}^{\pi} \frac{1}{2m} \nabla_{y} \phi \cos \frac{\theta}{2} d\theta = W$$
(24)

In other words, a momentum shift W appears. Note that the integral in eq 24 is not relevant for any surface-hopping calculation because, as mentioned above (and see Figure 2), the definition of each quasi-diabat changes discontinuously at x = 0(whereas in eq 24 we simply integrated the Berry force for x < 0over the entire x axis). Nevertheless, if we imagine a trajectory that is initialized on the upper singlet $|S\rangle$ at $\theta = 0$ and then transmits to $|T_1\rangle$ at $\theta=\pi$, if we treat the discontinuity at x=0properly, it should be clear that we can integrate the Berry forces in eq 22 to yield the proper outgoing momentum. As a side note, note that if one enters on diabat $|T_1\rangle$ on the left and one exits on diabat $|T_1\rangle$ on the right, there should be no momentum shift. Thus, again, the integral in the equation is not directly relevant. Encouragingly, we find that this physical result can be captured if we integrate the Berry force for x < 0 over the region $x \in [-\infty, 0]$ and the Berry force for x > 0 over the region x $\in [0, \infty]$; the two Berry forces cancel exactly as they should.

2.3. Modified Fewest Switches Surface-Hopping Algorithm. At this point, we can introduce our proposed surface-hopping protocol. To begin our discussion and to introduce the necessary notation, let us briefly review our FSSH algorithm for a nondegenerate system with a Berry force. As usual, we imagine initialization of a swarm of independent trajectories based on a Wigner distribution. For each trajectory, the nuclear motion is propagated classically along a single active adiabatic surface *j* with equations of motion

$$\dot{\vec{r}} = \frac{\vec{p}}{m} \tag{25}$$

$$\dot{\vec{p}} = -\vec{\nabla}E_j + \vec{F}_j^{\text{Berry}} \tag{26}$$

The adiabatic electronic amplitude c is propagated by the Schrödinger equation

$$\dot{c}_k = -\frac{i}{\hbar} E_k c_k - \sum_j \frac{\vec{p}}{m} \vec{d}_{jk} c_k \tag{27}$$

According to Tully's original paper, 1 a trajectory on active surface j randomly hops to surface k with rate

$$g_{j\to k} = \max \left[2\text{Re} \left(\frac{\vec{p}}{m} \vec{d}_{jk} \frac{\rho_{kj}}{\rho_{jj}} \right) \Delta t, 0 \right]$$
(28)

This hopping rate was originally guessed by Tully so as to enforce consistency between the fraction of trajectories on surface j and the population $|c_j|^2$; the validity of this ansatz was later demonstrated by comparison with the quantum—classical Liouville equation (QCLE). When a hop occurs, one rescales the momentum to conserve energy in the direction of the derivative coupling (assuming it is real).

2.3.1. Hops between Quasi-Diabats. In order to extend the FSSH algorithm to a system with degenerate electronic states, we argued that in addition to an active adiabat (which yields Born-Oppenheimer force information), each trajectory should also carry an active quasi-diabat (which yields Berry force information). However, it is not possible to simply assign a quasi-diabatic index to a trajectory in the same way that we assign an adiabatic index. After all, such an active quasi-diabat index would face two incompatible requirements: one would require the active quasi-diabat to be consistent with both the electronic wave function as well as the active adiabatic (which is impossible!). For this reason, our approach instead will be to assign a quasi-diabat only when the active adiabat is mostly of triplet character; in such a case, one can use the quasi-diabat as a means of quantifying which m_s level is being populated by a given trajectory. Thereafter, if one wishes to use a quasi-diabatic index to sample the correct distribution of m_s triplets so that a swarm of trajectories moves with a meaningful sampling of Berry forces (consistent with the electronic amplitudes), one must also allow for the quasi-diabat index of a given trajectory to hop between electronic states as a function of time. Obviously, this hopping rate must be chosen very carefully so as to maintain all of the consistency checks above.

With these caveats in mind, it is straightforward to imagine three scenarios whereby a given trajectory will need to hop between active quasi-diabats. See Figure 3.

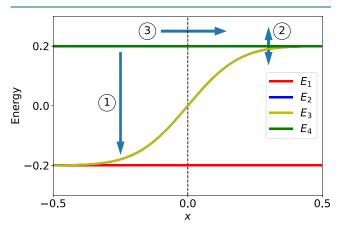


Figure 3. Schematic diagram for three scenarios of quasi-diabatic hops: (1) adiabatic hop leading to a quasi-diabatic hop, (2) hop between triplet quasi-diabatic states, and (3) trajectory transmitting through the crossing point (at x = 0).

1. Whenever an adiabatic hop occurs, the active quasi-diabat may change and one must carefully assess the situation. For instance, if a trajectory hops from adiabat |1⟩ to adiabat |4⟩ in the region x < 0, the active quasi-diabat must also necessarily change to |S⟩. In general and more precisely, if the new adiabat is primarily of singlet character (or formally maps to a singlet quasi-diabat) then one must also switch the active quasi-diabat to the singlet. If the new adiabat is primarily of triplet character</p>

- then one must switch the active quasi-diabat to one of three triplets (and the relative ratio of each triplet is calculated according to the electronic amplitude).
- 2. The active quasi-diabat must also change due to the evolution of the electronic wave function in order to keep consistency between the electronic amplitudes and the choice of active surface. Mathematically, we calculate the stochastic quasi-diabatic hopping probability according to the fewest switches hopping rate. Thus, the hopping probability from active quasi-diabat *a* to another quasi-diabat *b* is calculated in the quasi-diabatic basis by the expression

$$g_{a\to b} = \max \left[\frac{T_{ba}}{\rho_{aa}} \Delta t, 0 \right]$$
 (29)

where

$$T_{ba} = 2\operatorname{Im}(V_{ab}\rho_{ba}) - 2\operatorname{Re}\left(\frac{\vec{p}}{m}\vec{d}_{ba}\rho_{ab}\right)$$
(30)

3. When a trajectory transmits through the crossing point (at *x* = 0 for our model of eq 7) on adiabat |1⟩ or |4⟩, the mapping between adiabats and quasi-diabats changes because of our discontinuous choice of quasi-diabats. For example, for a trajectory transmitting on adiabat |4⟩ from *x* = −∞ to *x* = ∞, the active quasi-diabat must change from |S⟩ (*x* < 0) to one of three quasi-diabats after crossing (*x* > 0). Thus, whenever a trajectory passes *x* = 0 on |1⟩ or |4⟩, we must check for a quasi-diabatic hop. As above, if the new adiabat is primarily of singlet character, the new quasi-diabat is the corresponding singlet quasi-diabat. If the new quasi-diabat is of triplet character then the new quasi-diabat is set to one of the triplet quasi-diabats (and the exact choice is again chosen stochastically according to the electronic amplitude).

2.3.2. Hops between Adiabats. An adiabatic hop may lead to a quasi-diabat hop. That being said, if the adiabatic hop is between a nearly degenerate group of states (i.e., both the initial and the final states have triplet character) then no quasi-diabat hop is needed. In such a case, we propose that all of the rules for hopping between adiabats as prescribed by Tully¹ are unchanged. For example, the hopping probability is calculated by eq 28.

2.3.3. Momentum Rescaling. We now return to the thorny question of momentum rescaling. Note that for a complex-valued Hamiltonian, with complex-valued derivative coupling, there is no unique direction for rescaling momentum. While the derivative coupling is clearly the correct momentum rescaling direction for standard, nondegenerate real-valued Hamiltonians, ^{6,41–43} the notion of a complex-valued momentum is not practical or even well defined (because the derivative coupling is only defined up to a phase [or a gauge]). Moreover, this same problem (i.e., the lack of an obvious, unique momentum rescaling direction) arises whenever one encounters any nonadiabatic problem with electronic degeneracy, e.g., a singlet—triplet Hamiltonian. ²⁶ For these reasons, in recent years, we have spent a great deal of time analyzing the question of momentum rescaling.

According to ref 25, the optimal rescaling direction for the model Hamiltonian described in eq 1 is a combination of two unique directions $(\hat{h} \text{ and } \hat{k})$ which are functions of $\nabla \theta$ and $\nabla \phi$; for the present Hamiltonian, these are merely the x and y

directions. The basic idea in ref 25 is that whenever an adiabatic hop occurs, one adjusts the momentum in order to ensure that the trajectory leaves the crossing region with the correct asymptotic momentum as induced by the correct Berry force. Given the encouraging results found in ref 25 for the two-state problem, we are hopeful that a similar idea will apply for the present (degenerate) model. Note that according to refs 21 and 25, if one does not use an optimal momentum rescaling direction, FSSH simply does not predict accurate answers for the Hamiltonian in eq 1.

At this point, let us be more precise. According to ref 25, the momentum rescaling procedure contains two steps. First, one solves for the target momentum in the y direction in order to fix the asymptotic momentum shift. Second, one then solves for the target momentum in the x direction according to energy conservation. Now, in our current singlet-triplet model, the Berry force follows the active quasi-diabatic index (rather than the adiabatic index), and so one must presume that momentum rescaling must be applied both for adiabatic and for quasidiabatic hops. With regard to adiabatic hops, there is a very easy protocol to follow. If an adiabatic hop occurs without a quasidiabat hop, we simply rescale momentum in the x direction (corresponding to the $\nabla \theta$ direction in eq 7); this protocol makes sense because the Berry force is tied to the quasi-diabatic index (not the adiabatic index). The more interesting question is how to rescale velocities when there is a hop between quasi-diabats.

To that end, consider a hop between quasi-diabats. We focus on the momentum correction Δp^y . After a switch from quasi-diabat a to quasi-diabat b at time t, the momentum correction Δp^y must satisfy the following relation to guarantee the correct final momentum-shift

$$p^{y}[a|0 \to t] + p^{y}[b|t \to \infty] + \Delta p^{y} = p^{y}[a \to b|0 \to \infty]$$
(31)

where $p^y \ [a \to b \mid 0 \to \infty]$ denotes the asymptotic momentum change (which can be found in Table 1) for a trajectory incident from quasi-diabat a and leaving the crossing region on quasi-diabat b. $p^y \ [a \mid 0 \to t]$ denotes the momentum induced by Berry force from time 0 to t for a trajectory on quasi-diabat a. Notice that eq 31 is a bit complicated because of the discontinuity of the quasi-diabats around x = 0 ($\theta = \pi/2$). A calculation reveals the following two scenarios (where we define t'' as that time where the trajectory crosses the line $\theta = \pi/2$).

Case 1: $\theta(t) < \pi/2$

$$p^{y}[a|0 \to t] = \int_{0}^{t} F_{a,(\theta < \pi/2)}^{\text{Berry},y} dt'$$

$$p^{y}[b|t \to \infty] = \int_{t}^{t''} F_{b,(\theta < \pi/2)}^{\text{Berry},y} dt' + \int_{t''}^{\infty} F_{b,(\theta > \pi/2)}^{\text{Berry},y} dt'$$
(32)

Case 2: $\theta(t) > \pi/2$

$$p^{y}[a|0 \to t] = \int_{0}^{t''} F_{a,(\theta < \pi/2)}^{\text{Berry},y} dt + \int_{t''}^{t} F_{a,(\theta > \pi/2)}^{\text{Berry},y} dt'$$

$$p^{y}[b|t \to \infty] = \int_{t'}^{\infty} F_{b,(\theta > \pi/2)}^{\text{Berry},y} dt'$$
(33)

In terms of the singlet—triplet Hamiltonian in eq 7, all of the integrals above are merely functions of θ , so that the momentum shift Δp_y can be locally determined and in fact is a piecewise continuous function of θ (as shown in Table 2). Note that the

momentum corrections in Table 2 apply to all possible quasidiabatic hopping scenarios as discussed in section 2.3.1.

Table 2. Momentum Corrections in the *y* Direction That Must Be Applied Whenever There Is a Quasi-Diabatic Hop

$ a\rangle \rightarrow b\rangle$	$\Delta p_y \left(\theta < \pi/2\right)$	$\Delta p_y \left(\theta > \pi/2\right)$
$ \tilde{S}\rangle, \tilde{T}_0\rangle \rightarrow \tilde{T}_0\rangle, \tilde{S}\rangle$	0	0
$ \tilde{S}\rangle, \tilde{T}_0\rangle \rightarrow \tilde{T}_1\rangle$	$-\sin\theta/2 W$	$-\cos\theta/2 W$
$ \tilde{S}\rangle, \tilde{T}_0\rangle \rightarrow \tilde{T}_{-1}\rangle$	$\sin \theta/2 W$	$\cos \theta/2 W$
$ \tilde{T}_1\rangle \rightarrow \tilde{S}\rangle, \tilde{T}_0\rangle$	$\cos \theta/2 W$	$\sin \theta/2 W$
$ \tilde{T}_{-1}\rangle \rightarrow \tilde{S}\rangle, \tilde{T}_{0}\rangle$	$-\cos\theta/2W$	$-\sin \theta/2 W$
$ \tilde{T}_1\rangle \rightarrow \tilde{T}_{-1}\rangle$	$2\cos\theta/2W$	$-2\sin\theta/2W$
$ \tilde{T}_{-1}\rangle \rightarrow \tilde{T}_{1}\rangle$	$-2\cos\theta/2W$	$2 \sin \theta / 2W$

2.4. Momentum Reversal. At this point, one more nuance must be addressed. Often, during a hop, one finds that the hop is frustrated because there is not enough energy to achieve rescaling in the chosen direction. Indeed, there is a large amount of literature on the subject of momentum reversal upon a frustrated hop ^{11,12} in the context of real-valued Hamiltonians. In general, the most useful momentum reversal criteria suggested by Jasper and Truhlar¹² is

$$(\vec{F}_{\lambda} \cdot \vec{d}_{\lambda j})(\vec{p} \cdot \vec{d}_{\lambda j}) < 0 \tag{34}$$

Velocity reversal was found to be pivotal in ref 25, and the issue becomes even more essential for the present singlet-triplet Hamiltonian. When a quasi-diabatic hop occurs, there can be a large probability that the momentum rescaling procedure will be frustrated (because the presence of a Berry force can demand a large change of momentum). Empirically, based on comparison with exact solutions, we have found several rules as to when we ought to reverse momenta. On the one hand, if a trajectory is incident from a lower triplet or an upper singlet, optimal results require momentum reversal upon a frustrated quasi-diabatic hop. On the other hand, for trajectories that are incident from a lower singlet or an upper triplet, we recover the best results if we do not not reverse the momentum following a quasi-diabatic hop. In other words, for the flat model Hamiltonian in eq 7, we implement momentum reversal after a frustrated quasi-diabatic hop if the trajectory's momentum is in the same direction as that of the adiabatic force for the middle pair of degenerate adiabats

$$\vec{p} \cdot \vec{F}_{\text{middle}} < 0$$
 (35)

For a frustrated adiabatic hop, the momentum reversal is applied according to ref 25 when

$$(\vec{F}_{\lambda} \cdot \vec{\nabla}\theta)(\vec{p} \cdot \vec{\nabla}\theta) < 0 \tag{36}$$

2.5. Extreme Diabatic Limit. The case of an extreme diabatic crossing represents a problem for any surface-hopping algorithm with Berry force: after all, even though one wishes to apply a Berry force to ensure the correct asymptotic momentum for the rare trajectory that changes diabat, one is also aware that most trajectories do not switch diabats—and so there is no reason to implement a Berry force which can only lead to worse results. In particular, in the extreme diabatic limit, our experience with a two-state crossing shows that including a Berry force can sometimes lead to a large overestimation of reflection, as trajectories (that should hop to another adiabat [i.e., stay on the same diabat]) are reflected too early because of Berry force. In the present paper, we find similar results for a singlet—triplet crossing model. Thus, whenever a trajectory

reaches the crossing point (at $\theta = \pi/2$ and x = 0), our protocol below is to turn off the Berry force and ignore the momentum rescaling in eq 31 if the hop is in the extreme diabatic limit

$$\sum_{\lambda \neq j} \left| \frac{\left(\vec{d}_{\lambda j} \cdot \frac{\vec{p}}{m} \right)}{\Delta E_{\lambda j}} \right| > 2 \tag{37}$$

This procedure makes sense because, in the extreme diabatic limit, we can be certain that there will be a hop ahead over the next few time steps. A more general method for treating the extreme diabatic limit in surface hopping will be discussed in a future publication.

- 2.5.1. Outline of a Degenerate FSSH Algorithm. At this point, we can formally summarize our proposed singlet—triplet nonadiabatic FSSH algorithm. In general, we use all of the numerical tricks from refs 25 and 44.
- Step 1: We initialize the classical position \vec{r} , momentum \vec{p} , electronic amplitude c, and active adiabat λ for an ensemble of trajectories according to a given initial condition (and usually involving a Wigner transform).
- Step 2: We assign an active quasi-diabat μ to each trajectory. If λ is of singlet character, we assign μ to be a singlet. Otherwise, if λ is of triplet character, we generate a quasi-diabatic basis and assign μ to be one of the triplet quasi-diabats; the assignment of which triplet is made stochastically according to the electronic population in a quasi-diabatic basis.
- Step 3: We propagate \vec{r} , \vec{p} from current time $t = t_0$ using a classical—time step dt_c to $t = t_0 + dt_c$ following the equation of motion

$$\dot{\vec{r}} = \frac{\vec{p}}{m} \tag{38}$$

$$\dot{\vec{p}} = -\vec{\nabla}E_j + \vec{F}_{\mu}^{\text{Berry}} \tag{39}$$

- Step 4: We calculate a quantum—time step dt_q that is small enough to treat sharp trivial crossing accurately, and we loop over quantum—time steps.⁴⁵
 - (a) We propagate the electronic amplitude c in the adiabatic basis for a quantum—time step dt_q according to the Schrodinger equation.
 - (b) We calculate the hopping probability $g_{\lambda \to j}$ from active adiabat λ to all other adiabatic states j during each quantum—time step dt_q using the standard formula in eq 28. We generate a random number ζ and if $\zeta > g_{\lambda \to j}$ attempt a hop.
 - (i) If both j and λ are mainly triplets, switch λ to j (and do not adjust the quasi-diabat index μ).
 - (ii) If j is mainly the singlet and λ is a triplet, switch λ to j and switch μ to the singlet.
 - (iii) If j is mainly a triplet and λ is mainly the singlet, switch λ to j and switch μ randomly to one of the triplet quasi-diabats according to the electronic amplitude.

For any of these three scenarios, rescale the momentum, first in the y direction following eq 31 and then in the x direction according to energy conservation. If the hop is frustrated, no switch of

- the adiabats or diabats is invoked.⁴⁶ Move on to step 4c.
- (c) If the active quasi-diabat μ is a triplet, we calculate the probability for a purely quasi-diabatic hop from quasi-diabatic triplet μ to quasi-diabatic triplet a according to eq 29. Again, we generate a random number ζ and attempt a hop if $\zeta > g_{\mu}$ a. If a hop is prescribed, as above, we rescale the momentum first in the $\nabla \phi$ (y) direction following eq 31 and then in the $\nabla \theta$ (x) direction to conserve energy. If the hop is frustrated, the diabatic hop is rejected.
- (d) Change the time to $t = t + dt_q$. If $t \ge t_0 + dt_c$ continue; otherwise, return to step 4a and iterate.
- Step 5: Check if the trajectory passes through the crossing point (for this model, x = 0) within the classical—time step. If so, check if a quasi-diabatic change is needed according to the rules in section 2.3.1. If not, return to step 3.
- Step 6: At the crossing point, check if the trajectory is in the extreme diabatic limit, i.e., if $\sum_{\lambda \neq j} |(\vec{d}_{\lambda j} \cdot \vec{p}/m)/\Delta E_{\lambda j}| > 2$.
 - (a) If the hop is in the extreme diabatic limit, turn off the Berry force in eq 39 for the remainder of the trajectory. Return to step 3 and iterate.
 - (b) If the hop is not in the extreme diabatic limit, and the hop is not frustrated, rescale the momentum following eq 31.

If the hop is frustrated, check if $\vec{p} \cdot \vec{F}_{\text{middle}} > 0$. If the latter condition holds, reverse the momentum in the $\nabla \theta$ (x) direction.

In practice, there is one more item that the reader/user must be aware of. Notice that, by definition, the triplet quasi-diabats are not continuous near x = 0, i.e., the composition of quasidiabats changes from $\{|1\rangle, |2\rangle, |3\rangle\}$ to $\{|2\rangle, |3\rangle, |4\rangle\}$ (or vice versa). See Figure 1. As a result of this discontinuity, the components of the electronic amplitudes in the quasi-diabats change dramatically at x = 0. To treat this discontinuity in a stable fashion, we treat this point as a trivial crossing problem and simply follow the protocol in ref 44. In particular, we optimize the phases of the quasi-diabats across the x = 0 divider, and by numerically calculating the derivative coupling as the matrix log of the overlap, 47 we generate a finite hopping probability that can be easily integrated over the smaller quantum-time steps that compose the larger classical-time step. Note that, in general, for the current problem with degenerate electronic states, one must be careful when calculating the derivative coupling—if one does not line up phase correctly or if one attempts to use Hellman-Feynman theory for the derivative coupling, one is doomed to either instability or failure (or both).

3. RESULTS

The algorithm above has been implemented and run for the Hamiltonian in eq 7. Below, we will compare the results from (i) standard FSSH, (ii) our modified FSSH algorithm, and (iii) exact quantum dynamics.

For this Hamiltonian, in a rough sense, the Massey parameter is effectively $2\pi A/(\hbar B v_x)$, where v_x is the velocity in the x direction. For this reason, we will present results for both small and large A values, so that we can investigate both nonadiabatic and adiabatic dynamics. In the extreme adiabatic limit (where the character of the electronic state changes), we expect Berry force effects to be very important (and Tully hopping to be less

important). In the extreme nonadiabatic limit (where the character of the electronic state does not change), we expect Berry force to be less important (and Tully hopping to be more important). In between these two limits, we expect that both dynamical effects (hopping and Berry forces) will be important. Moreover, as far as the parameter W is concerned, the most interesting physics should arise when W is roughly the same order of magnitude as v_x . For this reason, we will show scattering results for a host of different velocities.

For exact quantum dynamics, the wavepacket is propagated using the fast Fourier transform method. The initial Gaussian wavepacket begins on a pure diabatic state

$$|\Psi(\vec{r})\rangle = \exp\left(-\frac{(\vec{r} - \vec{r}_0)^2}{\sigma^2} + \frac{i\vec{p}_0 \cdot \vec{r}}{\hbar}\right) |\psi_i\rangle \tag{40}$$

Here, σ is the width, $\vec{p}_0 = (p_{\text{init}}^x p_{\text{init}}^y)$ is the initial momentum, $\vec{r}_0 = (-4, 0)$ is the initial position of the wavepacket, and $|\psi_i\rangle$ is the initial diabatic electronic state index. The nuclear mass is set to be 1000, and all quantities are in atomic units.

For standard and modified surface-hopping results, 2×10^3 trajectories are sampled from the Wigner probability arising from eq 40 (i.e., both \vec{r} and \vec{p} are sampled from a Gaussian distribution with $\sigma_x = \sigma_y = 1$ and $\sigma_{p_x} = \sigma_{p_y} = 1/2$). When propagating the electronic amplitudes, we use the analytical eigenvectors of the Hamiltonian. For the case of initialization on a triplet, the active initial adiabat is randomly chosen according to the initial electronic amplitude.

3.1. Initialize on the Singlet State. We begin with the data where the wavepacket starts on the upper singlet $|S\rangle$ with $p_{\text{init}}^x = p_{\text{init}}^y$. The parameters for the model Hamiltonian are A = 0.10, B = 3.0, and W = 5.0. Note that given this value of A, the system is largely in the adiabatic regime. The transmission and reflection probabilities are presented in Figure 4.

According to Figure 1, even though the upper adiabatic surface is completely flat, the exact quantum results show that a huge amount of population is reflected when the momentum is low. The standard FSSH algorithm (without Berry force) fails and predicts zero reflection. By contrast, our new approach can capture this Berry force-induced reflection qualitatively (and nearly quantitatively). Not only do we recover strong estimates for reflection vs transmission, we also predict the population on each of the three degenerate triplet states fairly accurately.

In the Supporting Information, we provide data for a few different cases. In particular, we study the following.

- 1. The case where A = 0.02, which is the nonadiabatic limit.
- 2. The case where the system initialized on a lower singlet state (for both A = 0.10 and 0.02).

We hold all other parameters the same. For these problems, standard FSSH and Berry-force-corrected FSSH yield fairly similar numerical results.

- **3.2. Initialize on a Triplet State.** While the data above suggests that standard FSSH is sometimes good enough for dynamics initialized on a singlet, the case of initialization on a lower triplet is far more difficult, where there is competition between the adiabatic force and the Berry force. To see this competition, consider three cases (with W > 0 and A = 0.10):
 - 1. A wavepacket is initialized on $|T_1\rangle$. Here, the Berry force will create an energy barrier for transmission to both the upper and the lower surfaces. As a result, perhaps not surprisingly, standard FSSH overestimates the transmission on the lower surface in Figure 5.

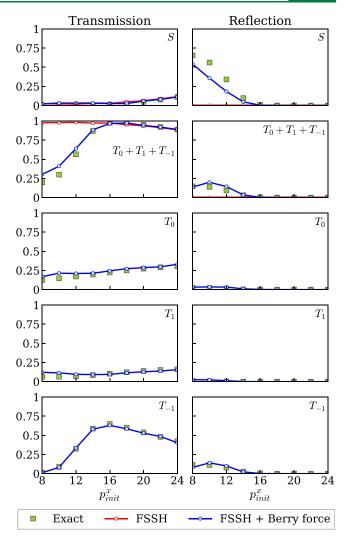


Figure 4. Probabilities for transmission and reflection on the upper surfaces, lower surfaces, and each triplet spin-diabat as a function of initial momentum p_{init}^x . System is in the adiabatic limit, with A=0.10, B=3.0, and W=5.0; dynamics are initialized with $p_{\text{init}}^x=p_{\text{init}}^y$ on the upper singlet $|S\rangle$. Exact quantum dynamics data is compared with standard FSSH and our proposed FSSH with Berry force. Upper transmission and lower reflection probabilities are calculated by summing over the three triplet diabatic states. Note that for each triplet diabat state, there is no data for standard FSSH because standard FSSH running on adiabats does not define an active diabat. When the system is initialized on the upper singlet state, standard FSSH predicts 100% transmission but our approach can capture the reflection resulting by Berry force qualitatively.

- 2. A wavepacket is initialized on $|T_{-1}\rangle$. Here, the Berry force will always decrease the energy barrier to transmit. Thus, it is not surprising that, in Figure 6, standard FSSH underestimates the transmission on the upper surfaces.
- A wavepacket is initialized on |T₀⟩. Here, the Berry force
 can both increase and decrease the transmission barrier
 depending on the electronic character of the different
 diabats, Figure 7.

In all three cases, our modified FSSH algorithm shows reasonable improvements in the population distribution compared to standard FSSH.

Lastly, let us consider the results above in the diabatic limit where A = 0.02 (and the system remains initialized on the lower triplet state). Under such conditions, as shown in Figure 8,

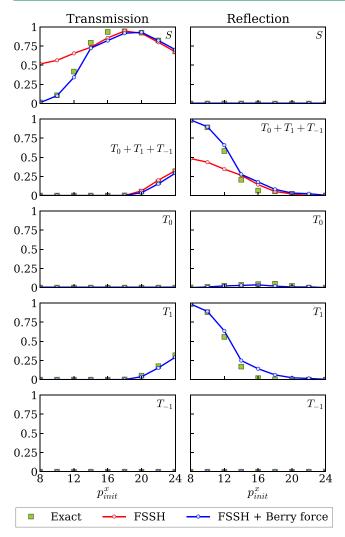


Figure 5. Probabilities for transmission and reflection on the upper surfaces, lower surfaces, and each triplet spin-diabat as a function of the initial momentum $p_{\rm init}^x$. System is in the adiabatic limit with A=0.10, B=3.0, and W=5.0 and initialized with $p_{\rm init}^x=p_{\rm init}^y$ on the lower triplet $|T_1\rangle$. FSSH fails miserably at low momentum, whereas the new approach does well.

surface hopping with Berry force continues to perform better than normal FSSH. For example, when a trajectory is initialized on the $|T_1\rangle$ state, the trajectory should reflect (because of the Berry force) if it continues toward the lowest adiabat (singlet). However, because standard FSSH lacks the Berry force, the algorithm overestimates the transmission on the singlet at low momentum.

Note that in Figure 8 we used the criterion in eq 37 for establishing when to apply the Berry force. Without such a criterion for turning off the Berry force, one would find erroneous results for scattering with a large incoming momentum ($p_x = p_y > 10$)). Here, the system is in the extreme diabatic limit (almost all trajectories will transit on the upper surface), and applying a local Berry force would lead to spurious reflection.

In the end, assuming one is careful to always include such a cutoff for a Berry force, empirically we find that our results are always better (often much much better) than standard surface hopping.

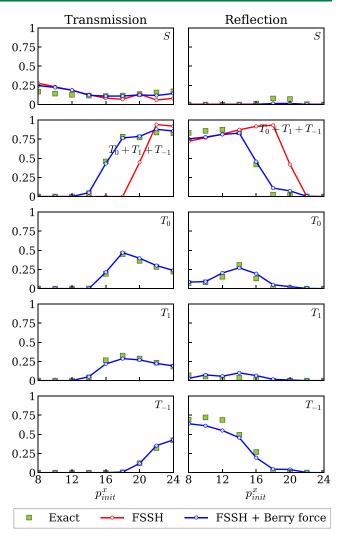


Figure 6. Same as Figure 5, but now the system is initialized on $|T_{-1}\rangle$. Again, the new method is more accurate compared to standard FSSH.

More numerical results, including data for the A=0.02 case with initialization on diabats $|T_0\rangle$ and $|T_{-1}\rangle$, can be found in the Supporting Information.

In the end, the data above suggest that one can indeed use a modified FSSH code to treat degenerate nonadiabatic dynamics problems with complex-valued Hamiltonians and/or Berry forces.

4. DISCUSSION

4.1. Momentum Rescaling and Compatibility of the Proposed Quasi-Diabatic Berry Force with Standard (Two-State) Nondegenerate Hamiltonian Approaches.

For an electronic system with two states, the presence of a Berry force can explain most observations in the observed scattering simulations above. On the one hand, for trajectories that stay on the same adiabat, the Berry curvatures of different adiabatic surfaces act as different magnetic fields, which eventually leads to a state-specific momentum shift. On the other hand, for a trajectory that hops between adiabats and stays on the same diabat, there is effectively zero contribution from a Berry force; the entire concept can be safely ignored. This latter situation can be rationalized if we approximate that the transition between adiabats occurs exactly at the diabatic crossing point (say at position x^{\ddagger}). In such a case, the trajectory will experience the

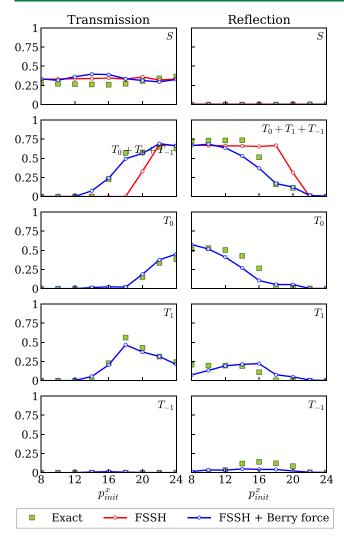


Figure 7. Same as Figure 5, but now the system is initialized on $|T_0\rangle$. Again, our new method is better than standard FSSH.

Berry force on its initial adiabat from $x = -\infty$ until position x^{\ddagger} and then the equal and opposite Berry force on the other adiabat from x^{\ddagger} to $x = \infty$. These two contributions cancel completely, and such a zigzag can actually be seen computationally (see Figure 9 of ref 21).

Now, the situation above might seem to conform easily to (classical) FSSH dynamics. In practice, however, the FSSH algorithm allows independent trajectories to hop stochastically back and forth between adiabatic states an arbitrary number of times, and any given trajectory will experience an inconsistent Berry force (and therefore end up with the wrong asymptotic momentum) if the trajectory does not necessarily hop at the crossing point or if it hops more than one time (again, not at the crossing point). As a result of the wrong momentum, if one applies FSSH normally, one will find incorrect nonadiabatic transmission and reflection probabilities. To solve this problem, in ref 25, we suggested a dynamical rescaling momentum direction (i.e., a direction that depends on the nuclear momentum) which ensures there is a consistent momentum for each adiabatic surface asymptotically. Overall, as shown in ref 25, FSSH with an adiabatic Berry force and a dynamic rescaling direction can accurately simulate the complex two-state crossing problem.

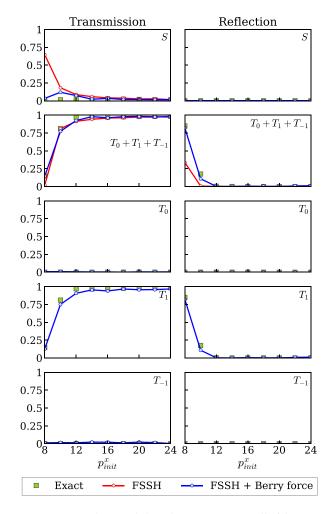


Figure 8. Data in the nonadiabatic limit. Here, we set all of the system parameters to be the same as in Figure 5, but we set A = 0.02 so as to operate in the nonadiabatic limit.

With this background in mind, one wonders if the four-state ISC model presented in section 2 is consistent with the two-state case from ref 25. For our ISC system with electronic degeneracy, the adiabats are not well defined and we argued that a proper diabatic basis is needed to explain all of the phenomena observed from wavepacket dynamics calculation; therefore, we proposed here using a quasi-diabatic Berry force, such that each trajectory is assigned a nonzero Berry force. What is the relationship between the present algorithm and the algorithm in ref 25? To that end, note that if, for a two-state model, we presume that quasi-diabats are unpaired (and come in a one-dimensional electronic subspace) then a quasi-diabat is clearly just an adiabat. In other words, the quasi-diabatic Berry force in the present manuscript does reduce to the adiabatic Berry force in a twostate model. The real difference between the two algorithms, however, is that in the former case one must redefine quasidiabatic energies on different sides of a crossing for stable FSSH dynamics (whereas in the latter, adiabatic energies can be defined globally). In the end, we do believe that one can develop an FSSH model that can simulate both doublet-doublet and singlet-triplet crossings consistently.

4.2. η **Factor in the Quasi-Diabatic Berry Force.** We next discuss the factor η in our quasi-diabatic Berry force in eq 22. Thus far, we have argued for including such a factor on purely

phenomenological grounds, i.e., so that we can calculate a Berry force that leads to the correct momentum correction. Practically speaking, we have shown that the algorithm proposed above seems to apply to any singlet—triplet crossing which has the form of eq 7.

That being said, we will now show that the correct factor must depend on dimensionality. To show this, consider the fictitious "singlet-doublet" crossing in eq 41 as an example

$$H = A(x, y) \begin{pmatrix} \cos \theta & \frac{\sin \theta e^{i\phi}}{\sqrt{2}} & \frac{\sin \theta e^{-i\phi}}{\sqrt{2}} \\ \frac{\sin \theta e^{-i\phi}}{\sqrt{2}} & -\cos \theta & 0 \\ \frac{\sin \theta e^{i\phi}}{\sqrt{2}} & 0 & -\cos \theta \end{pmatrix}$$
(41)

Empirically, if one calculates derivative couplings, one will find that one must include a factor of $\eta=1$ in order to achiever the right momentum correction. More generally, for a singlet crossing with n-fold degenerate states

$$H = A(x, y) \begin{pmatrix} \cos \theta & \cdots & \frac{\sin \theta e^{i\phi}}{\sqrt{n}} & \frac{\sin \theta e^{-i\phi}}{\sqrt{n}} \\ \vdots & \ddots & \vdots & \vdots \\ \frac{\sin \theta e^{-i\phi}}{\sqrt{n}} & \cdots & -\cos \theta & 0 \\ \frac{\sin \theta e^{i\phi}}{\sqrt{n}} & \cdots & 0 & -\cos \theta \end{pmatrix}$$
(42)

one requires a factor $\eta = n/2$ (where n is the dimensionality of degeneracy) in order to achieve the correct asymptotic momenta. For a general derivation of the η factor as a function of the degeneracy dimensionality n, see Appendix A.

4.3. Limitations of Our Algorithm: Berry-Force Induced Tunneling. Although we have so far presented encouraging data, we must now address one numerical example for which our modified FSSH algorithm does not deliver strong results. This example is the case of perpendicular incoming trajectories ($\vec{p}_{\text{init}} = (p_{\text{init}}^x, 0)$) initialized on the lower triplet in the adiabatic limit (A = 0.10). For such a case, the population distribution is shown in Figure 9. At low momentum, one can see that the surface hopping underestimates the transmission probability with or without a Berry force correction. In practice, including Berry force does not improve FSSH results.

Why does this error emerge? Our preliminary answer is that this failure emerges because of FSSH's inability to achieve tunneling: a trajectory entering from $x = -\infty$ along a triplet is repelled by the adiabatic force and simply cannot go far enough to positive x in order to reach the crossing region (and hop down to the singlet set). Thus, the failure of FSSH to include tunneling dooms the algorithm. Note that, interestingly, this tunneling feature can be accentuated when simulating complex-valued Hamiltonians with Berry forces. For instance, in the context of Figure 9, note that if we set W = 0, FSSH performs quite well.

4.4. Open Questions. At this juncture, before concluding, we would like to highlight several open questions regarding the future of the present FSSH approach for simulating singlet—triplet crossing. First, numerical results for the two-state model clearly suggest that one needs to incorporate decoherence within

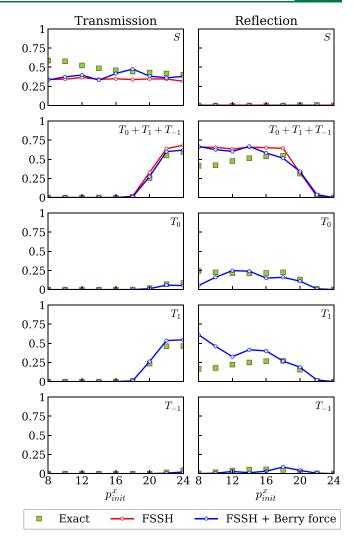


Figure 9. Tunneling failure of FSSH. We choose all system parameters to be the same as in Figure 5, and the wavepacket is initialized on the lower triplet $|T_1\rangle$ coming in from $x=-\infty$. We set $\vec{p}_{\text{init}}=(p_{\text{init}}^x \ 0)$. We find FSSH cannot deliver quantitative accuracy, and the trajectories cannot tunnel from triplet to singlet.

FSSH to correctly treat Berry force-induced wavepacket separation. ²¹ However, for the present model, it is not clear how important decoherence is nor is it clear how to include decoherence in our degenerate model. For problems without degeneracy (e.g., real-valued two-state problems), it is now widely established^{6–9} that the correct decoherence rate must be proportional to the Born-Oppenheimer force difference between adiabats, $\Delta \vec{F} = \vec{F}_1 - \vec{F}_2$. However, is this still true if pseudomagnetic fields are present? Should the pseudomagnetic fields also be included in the direction $\Delta \hat{F}$ above? The answer to date is unknown. Moreover, as far as a decoherence procedure, the usual approach is to collapse a trajectory's electronic amplitude to one adiabatic surface so to avoid incorrect hops in the future. Is this still valid for our quasi-diabatic scheme? In general, how should the quasi-diabat be taken into account when determining the final state after a decoherence event for multidimensional system with Berry forces? These questions must be addressed in the future. Thus far, no decoherence has been included in section 3.

Second, again on the practical side, we found above that reversing a trajectory's momenta after a frustrated quasi-diabatic hop can sometimes help (but other can times can hurt) as far recovering the correct transmission reflection ratio and momentum. In order to account for this observation, for the nearly flat model Hamiltonian in eq 7, we proposed that one should implement the momentum reversal rule only for the cases that satisfy eq 35, which has been very helpful so far. More generally, however, more research into momentum reversal and the optimal protocol will be essential in the future, where we will necessarily need to investigate model Hamiltonians that are not flat and models with more than four electronic states.

Third, for our FSSH purposes in this paper, we required the existence of a "proper" diabatic basis. In the context of eq 7, such a proper diabatic must satisfy two requirements. (a) These diabats must reduce to adiabatic states outside of any coupling region. (b) Even more importantly, for the triplet diabats, if one investigates how their coupling to the singlet changes with position, one requires that the couplings should be as localized as possible in momentum space. For instance, for the crossings chosen in this paper, the couplings from $|T_1\rangle$ or $|T_{-1}\rangle$ to the singlet vary as $e^{\pm i\phi}$, a choice which leads to very different Berry forces on the different quasi-diabats. More generally, however, one must wonder are these requirements general? Are they applicable and practical for more complicated Hamiltonians? Is it practical to find such diabats in an ab initio simulation? Is it reasonable to assume that for a realistic Hamiltonian one can find diabats for which the direction of the gradient of the diabatic energy (x) and the gradient of the phase of the diabatic coupling (y) do not change appreciably over the course of a crossing? The present algorithm is not yet general and will need to be further developed in the future. Obviously, for an ab initio simulation, the goal will be to propagate dynamics with as little information as possible about the potential energy surfaces (and certainly including only local information).

Fourth and finally, in this paper, we have not addressed (at all) the possibility of a true, externally generated magnetic field. In such a case, no triplet spin-adiabats will be degenerate and each spin will be partially aligned with the magnetic field. Now, in the limit of a very very large magnetic field, presumably, one splits all electronic states and the problem reduces to a standard (nondegenerate) nonadiabatic problem. Thus, one must wonder if the magnetic field is large enough, do we still need Berry forces and a quasi-diabatic basis or can we simply use normal FSSH with an adiabatic basis? More generally: can we use FSSH to learn how the direction and strength of an external magnetic field will influence the spin-dependent dynamics of ISC processes? Might such effects be relevant for modeling the molecular dynamics underlying bird navigation with magneto-reception ^{49,50} and/or magnetic field effects in organic photochemistry? ^{51–53}

5. SUMMARY

In conclusion, we proposed an extension of FSSH to include Berry forces within a degenerate singlet—triplet crossing model. With our modified algorithm, FSSH can capture most of the important features of a scattering process—the probabilities of transmission and reflection and the scattering momentum shift. The present algorithm is not yet general to arbitrary Hamiltonians, but the present manuscript highlights a new perspective on how to semiclassically treat the case of nonadiabatic dynamics with strong electronic degeneracy. In the future, merging the domains of nonadiabatic dynamics and spintronics offers many new and exciting areas for research, and

we hope that the present semiclassical perspective will help us explore this vast new terrain.

APPENDIX A: PROOF OF THE FACTOR IN QUASI-DIABATIC BERRY FORCE EXPRESSION

Below, we will show how to construct the factor η that is required for the quasi-diabatic Berry force expression in eq 22. We will show that such a factor depends critically on the dimensionality of the degenerate space, and for the singlet—triplet problem, the factor should be $\eta = 3/2$.

Let us consider a general model of n-fold degenerate multiplets crossing with one singlet state (above, we considered n = 3, the case of a triplet). For the sake of simplicity, we assume that the coupling between the singlet and each multiplet has the same magnitude, even though each coupling can carry a different complex phase.

$$H = \begin{pmatrix} \epsilon & Ve^{i\phi_1} & \cdots & Ve^{i\phi_n} \\ Ve^{-i\phi_1} & -\epsilon & 0 & 0 \\ \vdots & 0 & \ddots & 0 \\ Ve^{-i\phi_n} & 0 & 0 & -\epsilon \end{pmatrix}$$
(43)

For simplicity of notation, the adiabatic basis $\{\psi\}$ is indexed by $\{ijk\}$ and the original diabatic basis in eq 43 $\{\chi\}$ is indexed by $\{abc\}$. Obviously the quasi-diabatic basis $\{\tilde{\chi}\}$ can also be indexed by $\{abc\}$.

By analogy with problems in electrodynamics, it is easy to show that there will be two distinct adiabats at low and high energy; we shall call these states the upper and lower polaritons (even though there is no light involved). There remain n-1 degenerate adiabats with intermediate energy. Again, by analogy to electrodynamics, we will rotate the n degenerate diabats into a new basis, which is composed of one "bright" state ($|B\rangle$, that is coupled to the singlet) and n-1 "dark" states ($\{|D_\alpha\rangle\}$, which do not couple directly to the singlet [but which do couple to the bright state]).

For our model Hamiltonian in eq 43, both the two polaritonic states $|\psi_{\rm UP}\rangle$, $|\psi_{\rm LP}\rangle$ and the bright state $|B\rangle$ can be written down analytically

$$|\psi_{\text{UP}}\rangle = \frac{1}{\sqrt{2(\epsilon^2 + nV^2 + \epsilon\sqrt{\epsilon^2 + nV^2})}} \times (\epsilon + \sqrt{\epsilon + nV^2}, \text{Ve}^{-i\phi_1}, ..., \text{Ve}^{-i\phi_n})^T}$$
(44)

$$|\psi_{LP}\rangle = \frac{1}{\sqrt{2(\epsilon^2 + nV^2 - \epsilon\sqrt{\epsilon^2 + nV^2})}} \times (\epsilon - \sqrt{\epsilon + nV^2}, Ve^{-i\phi_1}, ..., Ve^{-i\phi_n})^T$$
(45)

$$|B\rangle = \frac{1}{\sqrt{n}} (0, e^{-i\phi_1}, ..., e^{-i\phi_n})^T$$
(46)

Then, we isolate the n adiabats closest to the original n-fold degenerate multiplets and rotate the adiabats (with rotation matrix R) to align them with the diabats; the result is a set of degenerate quasi-diabats $\{\tilde{\chi}\}$ defined by $|\tilde{\chi}_a\rangle = \sum_i |\psi_i\rangle R_{ia}$. The optimized rotation matrix satisfies the Kabsch algorithm and can be solved by $R = O^{\dagger} (OO^{\dagger})^{-1/2}$ where $O_{ai} = \langle \chi_a | \psi_i \rangle$. The quasi-diabats can therefore be expressed as

$$\begin{split} |\bar{\chi}_{a}\rangle &= \sum_{i} |\psi_{i}\rangle R_{ia} \\ &= \sum_{i,a'} |\psi_{i}\rangle \langle \psi_{i}|\chi_{a'}\rangle \langle \chi_{a'}| \left(\hat{P}_{M}\sum_{i} |\psi_{j}\rangle \langle \psi_{j}|\hat{P}_{M}\right)^{-1/2} |\chi_{a}\rangle \end{split} \tag{47}$$

where $\hat{P}_M = \sum_a |\chi_a\rangle\langle\chi_a| = |B\rangle\langle B| + \sum_\alpha |D_\alpha\rangle\langle D_\alpha|$ is the projector onto the multiplet subspace. Without loss of generality, consider the case where we rotate the upper n adiabats to generate quasidiabats (so that we do not include $|\psi_{LP}\rangle$ in our projection

 $\sum_{j} |\psi_{j}\rangle\langle\psi_{j}|$ in eq 47). In such a case, the projector to the upper n adiabats can is simply $\sum_{i} |\psi_{i}\rangle\langle\psi_{i}| = \hat{I} - |\psi_{\mathrm{LP}}\rangle\langle\psi_{\mathrm{LP}}|$.

Finally, since the multiplet manifold couples to the singlet only through the bright state, the projection of the lower polariton to the multiplet subspace has a contribution only from the bright state

$$\hat{P}_{M}|\psi_{LP}\rangle\langle\psi_{LP}|\hat{P}_{M} = |\langle B|\psi_{LP}\rangle|^{2}|B\rangle\langle B| \tag{48}$$

Therefore, we can rewrite eq 47 as

$$\begin{split} |\tilde{\chi}_{a}\rangle &= \sum_{a'} (\hat{I} - |\psi_{LP}\rangle\langle\psi_{LP}|)|\chi_{a'}\rangle\langle\chi_{a'}|(\hat{P}_{M}(\hat{I} - |\psi_{LP}\rangle\langle\psi_{LP}|)\hat{P}_{M})^{-1/2}|\chi_{a}\rangle \\ &= \sum_{a'} (\hat{I} - |\psi_{LP}\rangle\langle\psi_{LP}|)|\chi_{a'}\rangle\langle\chi_{a'}|\bigg((1 - |\langle B|\psi_{LP}\rangle|^{2})^{-1/2}|B\rangle\langle B| + \sum_{a} |D_{a}\rangle\langle D_{a}|\bigg)|\chi_{a}\rangle \\ &= \sum_{a'} (\hat{I} - |\psi_{LP}\rangle\langle\psi_{LP}|)|\chi_{a'}\rangle\langle\chi_{a'}|((1 - |\langle B|\psi_{LP}\rangle|^{2})^{-1/2}|B\rangle\langle B| + (\hat{I} - |B\rangle\langle B|))|\chi_{a}\rangle \\ &= \sum_{a'} (|\chi_{a'}\rangle - \langle\psi_{LP}|\chi_{a'}\rangle|\psi_{LP}\rangle)(\delta_{aa'} + ((1 - |\langle B|\psi_{LP}\rangle|^{2})^{-1/2} - 1)\langle\chi_{a'}|B\rangle\langle B|\chi_{a}\rangle) \end{split}$$

$$(49)$$

The quasi-diabat expression above can be evaluated analytically by inserting eqs 45 and 46

$$\langle \chi_{i} | \tilde{\chi}_{a} \rangle = \delta_{ai} + \left(\left(\sqrt{\frac{\Delta^{2}}{\Delta^{2} - nV^{2}}} - 1 \right) \left(1 - \frac{nV^{2}}{\Delta^{2}} \right) - \frac{nV^{2}}{\Delta^{2}} \right)$$

$$\frac{e^{-i(\phi_{i} - \phi_{a})}}{n} \tag{50}$$

where
$$\Delta = \sqrt{2(\epsilon^2 + nV^2 - \epsilon\sqrt{\epsilon^2 + nV^2})}$$
.

At this point, let us suppose that the Hamiltonian has only two nuclear degrees of freedom, such that ϵ and V depend on \hat{x} and ϕ depends on \hat{y} . We can heuristically write eq 50 as $\langle \chi_i | \tilde{\chi}_a \rangle = \delta_{\rm ai} + f(x) \frac{{\rm e}^{-i(\phi_i - \phi_a)}}{n}$. Then, the derivative coupling and the quasi-diabatic Berry curvature are

$$d_{aa}^{x} = \langle \tilde{\chi}_{a} | \nabla_{x} | \tilde{\chi}_{a} \rangle = \frac{1}{n^{2}} \frac{\partial f(x)}{\partial x} f(x)$$

$$d_{aa}^{y} = \langle \tilde{\chi}_{a} | \nabla_{y} | \tilde{\chi}_{a} \rangle = \sum_{i} -\frac{i}{n^{2}} \frac{\partial (\phi_{i} - \phi_{a})}{\partial y} f^{2}(x)$$
(51)

$$= -\frac{i}{n} \frac{\partial \phi_a}{\partial y} f^2(x) \tag{52}$$

$$\Omega_{a}^{xy} = i \Big(\nabla_{x} \langle \tilde{\chi}_{a} | \nabla_{y} | \tilde{\chi}_{a} \rangle - \nabla_{y} \langle \tilde{\chi}_{a} | \nabla_{x} | \tilde{\chi}_{a} \rangle \Big) = \frac{2}{n} \frac{\partial \phi_{a}}{\partial y} \frac{\partial f(x)}{\partial x} f(x)$$
(53)

Here, in eq 52, we assumed that the sum of the derivatives all phase factors is zero, i.e., $\sum_i \frac{\partial \phi_i}{\partial y} = 0$; such an assumption is clearly valid for the singlet—triplet case without a magnetic field.

In the end, note that the quasi-diabatic Berry curvature in eq 53 is inversely proportional to the dimensionality of the degenerate multiplet manifold. Thus, in general, in order to reach the correct asymptotic momentum, we must multiply each quasi-diabatic Berry force by a factor of n/2. 54

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acs.jctc.1c01103.

Additional numerical results, including the following cases: (1) A=0.02 and the system is initialized on the upper singlet state; (2) the system is initialized on the lower singlet state (for both A=0.10 and 0.02); (3) A=0.02 and the system is initialized on diabat $|T_0\rangle$ or diabat $|T_1\rangle$ (PDF)

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Notes

The authors declare no competing financial interest.

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- $\int d^2 r e^{i(k_i k_f)r} V(r) = \int dx \sin \theta e^{i(k_i^x k_f^x)x} \int dy e^{i(k_i^y k_f^y + W)y} =$
- $2\pi\int \mathrm{d}x\sin\theta e^{i\,(k_i^x-k_f^x)x}\delta(k_i^y-k_f^y+W)$. The expression in the δ function indicates that the scattering quantum wavepacket will accumulate a momentum shift of amount of W in the y direction if the wavepacket changes diabat.
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$$dt_q = \min \begin{cases} dt_c \\ 0.02/\text{max}[\boldsymbol{T}] \\ 0.02\hbar/\text{max}[\boldsymbol{V} - \boldsymbol{\bar{V}}] \end{cases}$$

Here, $\max[X]$ refers to the maximum absolute value of matrix X, T refers to the time derivative matrix, V is the potential energy matrix, and \overline{V} is the mean value of all adiabatic energies.

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